

FORM PTO-1390  
(REV. 7-2005)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER

P/4631-25

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/591415**

INTERNATIONAL APPLICATION NO.

**PCT/CH2005/000112**

INTERNATIONAL FILING DATE

**28 February 2005**

PRIORITY DATE CLAIMED

**3 March 2004**

TITLE OF INVENTION

**METHOD FOR RECOGNIZING IDENTIFICATION MEDIA**

APPLICANT(S) FOR DO/EO/US

**Marcel PLÜSS et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An **Unexecuted** oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV342540044US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 223131450, on September 1, 2006:

Dorothy Jenkins  
Name of Person Mailing Correspondence

*Dorothy Jenkins*  
Signature

September 1, 2006  
Date of Signature

|  |              |   |         |  |              |
|--|--------------|---|---------|--|--------------|
| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51)<br><b>10/591415</b>  |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/CH2005/000112</b>                     |         | ATTORNEY'S DOCKET NUMBER<br><b>P/4631-25</b> |              |
| <input checked="" type="checkbox"/> Other items or information: EFS Data Sheet; International Publication Number WO 2005/086069 A2; four (4) sheets of drawings (Figs. 1-7); PCT/ISA/220 (German); PCT/ISA/237 (German); International Search Report dated September 2, 2005 (PCT/ISA/210) (German); Form PTO-1449; 3 references; and postcard.  |              |   |         |  |              |
| The following fees have been submitted   |              |   |         | CALCULATION                                  | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....\$300   |              |   |         | \$300.00                                     |              |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion prepared by ISA/US or the international preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0<br>All other situations.....\$200  |              |   |         | \$200.00                                     |              |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the international preliminary examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100<br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400<br>All other situations.....\$500 |              |   |         | \$400.00                                     |              |
| <b>TOTAL OF 21, 22 and 23 =</b>  |              |   |         | <b>\$900.00</b>                              |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |   |         | \$   |              |
| Total Sheets   | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE    |  |              |
|  |              |   | x \$250 | \$   |              |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |              |   |         | \$   |              |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE    | \$   |              |
| Total Claims   | 22 - 20 =    | 3   | x \$ 50 | \$100.00                                     |              |
| Independent Claims   | 2 - 3 =      | 0   | x \$200 | \$   |              |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   | + \$360 | \$   |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |         | <b>\$1000.00</b>                             |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |   |         |  |              |
| <b>SUBTOTAL =</b>  |              |   |         | <b>\$1000.00</b>                             |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |              |   |         | \$   |              |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |         | <b>\$1000.00</b>                             |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  |              |   |         | \$   |              |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |         | <b>\$1000.00</b>                             |              |
|  |              |   |         | Amount to be refunded:                       | \$           |
|  |              |   |         | Amount to be charged:                        | \$           |

- a. ☒ A check (check number 25654) in the amount of \$1000.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 15-0700 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas A. Miro, Esq.  
OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8402

Telephone: (212) 382-0700

  
SIGNATURE

Max Moskowitz  
NAME

30,576  
REGISTRATION NUMBER